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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/895,609
Filing Date	June 29, 2001
First Named Inventor	Hugh R. Sharkey
Group Art Unit	3739
Examiner Name	Not Yet Assigned
Attorney Docket Number	A-69056-1/ENB

Sheet 1 of 5

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
DR	A1	164,184		KIDDER	08/08/1875	
	B1	300,155		STARR	06/10/1894	
	C1	371,664		BRANNAN et al.	10/18/1887	
	D1	452,220		GUNNING	05/12/1891	
	E1	1,314,855		CARPENTER	09/02/1919	
	F1	1,366,756		WAPPLER	01/25/1921	
	G1	1,731,627		JOHNSON et al.	10/15/1929	
	H1	1,735,271		GROFF	12/12/1929	
	I1	1,814,791		ENDE	07/14/1931	
	J1	1,908,583		WAPPLER	05/09/1933	
	K1	1,916,722		ENDE	07/04/1933	
	L1	1,932,258		WAPPLER	10/24/1933	
	M1	1,943,543		McFADDEN	01/16/1934	
	N1	1,983,669		KIMBLE	11/11/1934	
	O1	2,002,594		WAPPLER et al.	05/28/1935	
	P1	2,004,559		WAPPLER et al.	06/11/1935	
	Q1	2,050,904		TRICE	08/11/1936	
	R1					

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				
DR	S1	GB	2,160,102	A	RICHARD WOLF GmbH	12/18/1985		
	T1		WO 96/39914		PCT	12/19/1996		
	U1		WO 98/07468		PCT	02/26/1998		
	V1							
	W1							
	X1							
	Y1							
	Z1							

Examiner  
Signature

David R. [Signature]

Date  
Considered

7/17/02

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## **Complete if Known**

Application Number	09/738,944
Filing Date	December 14, 2000
First Named Inventor	Donald P. Kannenberg
Group Art Unit	3739
Examiner Name	Not Yet Assigned
Attorney Docket Number	A-69074-1/ENB

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		Number	Kind Code <sup>2</sup> (if known)			
de	A2	2,056,377		WAPPLER	10/06/1936	
	B2	2,224,464		WOLF	12/10/1940	
	C2	2,275,167		BIERMAN	03/03/1942	
	D2	2,888,928		SEIGER	06/02/1959	
	E2	3,152,590		ZURDO et al.	10/13/1964	
	F2	3,163,165		ISAKAWA	12/29/1964	
	G2	3,460,539		ANHALT, SR.	08/12/1969	
	H2	3,595,239		PETERSEN	07/27/1971	
	I2	3,768,482		SHAW	10/30/1973	
	J2	3,828,780		MORRISON, JR.	08/13/1974	
	K2	3,870,047		GONSER	03/11/1975	
	L2	3,901,242		STORZ	08/26/1975	
	M2	3,902,494		HABERLIN	09/02/1975	
	N2	3,920,021		HILTEBRANDT	11/18/1975	
	O2	3,920,022		PASTOR	11/18/1975	
	P2	3,938,527		RIOUX et al.	02/17/1976	
	Q2	3,987,795		MORRISON	10/26/1976	
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	S2						
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	U2						
	V2						
	W2						
	X2						
	Y2						
	Z2						

Examiner Signature

*Donald P. Kannenberg*

Date Considered

7/17/02

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		Filing Date	December 14, 2000
		First Named Inventor	Donald P. Kannenberg
		Group Art Unit	3739
		Examiner Name	Not Yet Assigned
Sheet 3	of 5	Attorney Docket Number	A-69074-1/ENB

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Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
DR	A3	4,074,718		MORRISON, JR.	02/21/1978	
	B3	4,907,589		COSMAN	03/13/1990	
	C3	4,927,420		NEWKIRK et al.	05/22/1990	
	D3	5,277,696		HAGEN	01/11/1994	
	E3	5,281,218		IMRAN	01/25/1994	
	F3	5,308,311		EGGERS et al.	05/03/1994	
	G3	5,364,395		WEST, JR.	11/15/1994	
	H3	5,382,247		CIMINO et al.	01/17/1995	
	I3	5,458,596		LAX et al.	10/17/1995	
	J3	5,464,023		VIERA	11/07/1995	
	K3	5,484,435		FLEENOR et al.	01/16/1996	
	L3	5,514,130		BAKER	05/07/1996	
	M3	5,524,338		MARTYNIUK et al.	06/11/1996	
	N3	5,569,242		LAX et al.	10/29/1996	
	O3	5,630,839		CORBETT, III et al.	05/20/1997	
	P3	5,643,255		ORGAN	07/01/1997	
	Q3	5,681,282		EGGERS et al.	5,681,282	
	R3					

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		Office <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>2</sup> (if known)				
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	V3						
	W3						
	X3						
	Y3						
	Z3						

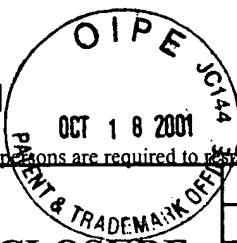
Examiner Signature	<i>David M. Kelly</i>	Date Considered	7/17/02
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/738,944
Filing Date	December 14, 2000
First Named Inventor	Donald P. Kannenberg
Group Art Unit	3739
Examiner Name	Not Yet Assigned
Attorney Docket Number	A-69074-1/ENB

Sheet 4 of 5

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
DL	A4	5,681,282		EGGERS et al.	10/28/1997	
	B4	5,688,270		YATES et al.	11/18/1997	
	C4	5,697,281		EGGERS et al.	12/16/1997	
	D4	5,785,705		BAKER	07/28/1998	
	E4	6,109,268		THAPLIYAL et al.	08/29/2000	
	F4	6,135,999		FANTON et al.	10/24/2000	
	G4	6,190,381		OLSEN et al.	02/20/2001	
	H4	6,238,391		OLSEN et al.	05/29/2001	
	I4					
	J4					
	K4					
	L4					
	M4					
	N4					
	O4					
	P4					
	Q4					
	R4					

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				
	S4							
	T4							
	U4							
	V4							
	W4							
	X4							
	Y4							
	Z4							

Examiner Signature

*Donald P. Kannenberg*

Date Considered

7/17/02

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/738,944
				Filing Date	December 14, 2000
				First Named Inventor	Donald P. Kanneberg
				Group Art Unit	3739
				Examiner Name	Not Yet Assigned
Sheet	5	of	5	Attorney Docket Number	A-69074-1/ENB

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DL	A5	LEE BEADLING, <i>Bi-Polar electrosurgical devices: Sculpting the future of arthroscopy</i> , ORTHOPEDICS TODAY, January 1997, Vol. 17, No. 1, SLACK, Inc., Medical Publisher.	
↓	B5	J. M. COSSET, <i>Interstitial Hyperthermia</i> , Stellartech Research Corp., December 6, 1993, pp. 2-5, 37-41.	
	C5		
	D5		
	E5		
	F5		
	G5		
	H5		
	I5		
	J5		
	K4		

Examiner Signature	<i>Daniel Muddy</i>	Date Considered	4/3/03
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			Application Number	09/895,609	
			Filing Date	June 29, 2001	
			First Named Inventor	Hugh R. Sharkey	
			Group Art Unit	3739	
Examiner Name	To be Assigned				
Sheet	1	of	3	Attorney Docket Number	A-69056-1/ENB

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DR	A1	4,033,351		Hetzel	07/05/1977	
	B1	4,043,342		Morrison, Jr.	08/23/1977	
	C1	4,202,337		Hren et al.	05/13/1980	
	D1	4,228,800		Degler, Jr. et al.	10/21/1980	
	E1	4,347,842		Beale	09/07/1982	
	F1	4,381,007		Doss	04/26/1983	
	G1	4,476,862		Pao	10/16/1984	
	H1	4,483,338		Bloom et al.	11/20/1984	
	I1	4,532,924		Auth et al.	08/06/1985	
	J1	4,593,691		Lindstrom et al.	06/10/1986	
	K1	4,682,596		Bales et al.	07/28/1987	
	L1	4,765,331		Petruzzi et al.	08/23/1988	
	M1	5,009,656		Reimels	04/23/1991	
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	O1	5,084,045		Helenowski	01/28/1992	
	P1	5,098,431		Rydell	03/24/1992	
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	S1					
	T1					
	U1					
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Examiner Signature	<i>David Mully</i>	Date Considered	<i>7/17/02</i>
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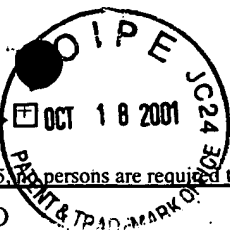
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Sheet 2 of 3

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DR	A2	5,125,928		Parins et al.	06/30/1992	
	B2	5,195,959		Smith	03/23/1993	
	C2	5,217,459		Kamerling	06/08/1993	
	D2	5,254,121		Manevitz et al.	10/19/1993	
	E2	5,227,201		Stern	01/11/1994	
	F2	5,281,216		Klicsek	01/25/1994	
	G2	5,330,470		Hagen	07/19/1994	
	H2	5,348,554		Imran et al.	09/20/1994	
	I2	5,366,443		Eggers et al.	11/22/1994	
	J2	5,403,311		Abele et al.	04/04/1995	
	K2	5,419,767		Eggers et al.	05/30/1995	
	L2	5,454,809		Janssen	10/03/1995	
	M2	5,520,685		Wojciechowicz	05/28/1996	
	N2	5,522,815		Durgin, Jr. et al.	06/04/1996	
	O2	5,556,397		Long et al.	09/17/1996	
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	Q2	5,683,366		Eggers et al.	11/04/1997	
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David M. Kelly

Date

Considered

7/17/02

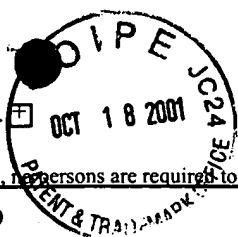
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Application Number		09/896,609			
Filing Date		June 29, 2001			
First Named Inventor		Hugh R. Sharkey			
Group Art Unit		3739			
Examiner Name		To be Assigned			
Sheet	3	of	3	Attorney Docket Number A-69056-1/ENB	

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
✓	A3	5,697,281		Eggers et al.	12/16/1997	
	B3	5,697,536		Eggers et al.	12/16/1997	
	C3	5,697,882		Eggers et al.	12/16/1997	
	D3	5,597,909		Eggers et al.	12/16/1997	
	E3	5,814,044		Hooven	9/1998	
	F3	5,925,045		Reimels et al.	7/1999	
	G3	5,944,715		Goble et al.	8/1999	
	H3	5,957,884		Hooven	09/28/1999	
	I3	6,149,620		Baker et al.	11/21/2000	
✓	J3	6,254,600		Willink et al.	07/03/2001	
	K3					
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	M3					
	N3					
	O3					
	P3					
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				
	S3							
	T3							
	U3							
	V3							
	W3							
	X3							
	Y3							
	Z2							

Examiner Signature <i>David M. Kelly</i>	Date Considered <i>7/17/02</i>
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